

12-18-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

Beiersdorf 755-KGB

First Inventor

Heidi RIEDEL et al

Title

SELF-FOAMING OR FOAM-LIKE PREPARATIONS

Express Mail Label No.

EV 015941243 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **49**]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐

5. Oath or Declaration [Total Pages ☐

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Appendix; Express Mail Certificate

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

27384

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Kurt G. Briscoe

Registration No. (Attorney/Agent)

33,141

Signature

Date

December 14, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                                |
|----------------------|--------------------------------|
| Application Number   | To Be Assigned                 |
| Filing Date          | Herewith                       |
| First Named Inventor | Heidi RIEDEL et al             |
| Examiner Name        | To Be Assigned                 |
| Group Art Unit       | To Be Assigned                 |
| Attorney Docket No.  | 100718-359 /Beiersdorf 755-KGB |

TOTAL AMOUNT OF PAYMENT \$740.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 14-1263  
Deposit Account Name: Norris McLaughlin & Marcus

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101          | 201          | 740      | 370      |          |          | Utility filing fee     | 740.00   |
| 106          | 206          | 330      | 165      |          |          | Design filing fee      |          |
| 107          | 207          | 510      | 255      |          |          | Plant filing fee       |          |
| 108          | 208          | 740      | 370      |          |          | Reissue filing fee     |          |
| 114          | 214          | 160      | 80       |          |          | Provisional filing fee |          |
| SUBTOTAL (1) |              |          |          |          |          |                        | \$740.00 |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 15                 | -20** = 0    | X 18.00 =      | 0.00     |
| Independent Claims | 2 - 3** = 0  | X 84.00 =      | 0.00     |
| Multiple Dependent |              |                | 0.00     |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|----------|----------|--|
| 103          | 203          | 18       | 9        |          |          | Claims in excess of 20                                     |
| 102          | 202          | 84       | 42       |          |          | Independent claims in excess of 3                          |
| 104          | 204          | 280      | 140      |          |          | Multiple dependent claim, if not paid                      |
| 109          | 209          | 84       | 42       |          |          | ** Reissue independent claims over original patent         |
| 110          | 210          | 18       | 9        |          |          | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105          | 205          | 130      | 65       |          |          | Surcharge - late filing fee or oath  |          |
| 127          | 227          | 50       | 25       |          |          | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 239          | 130      | 130      |          |          | Non - English specification  |          |
| 147          | 247          | 2,520    | 2,520    |          |          | For filing a request for ex parte reexamination                            |          |
| 112          | 212          | 920*     | 920*     |          |          | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 213          | 1,840*   | 1,840*   |          |          | Requesting publication of SIR after Examiner action                        |          |
| 115          | 215          | 110      | 55       |          |          | Extension for reply within first month                                     |          |
| 116          | 216          | 400      | 200      |          |          | Extension for reply within second month                                    |          |
| 117          | 217          | 920      | 460      |          |          | Extension for reply within third month                                     |          |
| 118          | 218          | 1,440    | 720      |          |          | Extension for reply within fourth month                                    |          |
| 128          | 228          | 1,960    | 980      |          |          | Extension for reply within fifth month                                     |          |
| 119          | 219          | 320      | 160      |          |          | Notice of Appeal   |          |
| 120          | 220          | 320      | 160      |          |          | Filing a brief in support of an appeal                                     |          |
| 121          | 221          | 280      | 140      |          |          | Request for oral hearing   |          |
| 138          | 238          | 1,510    | 1,510    |          |          | Petition to institute a public use proceeding                              |          |
| 140          | 240          | 110      | 55       |          |          | Petition to revive - unavoidable   |          |
| 141          | 241          | 1,280    | 640      |          |          | Petition to revive - unintentional   |          |
| 142          | 242          | 1,280    | 640      |          |          | Utility issue fee (or reissue)   |          |
| 143          | 243          | 460      | 230      |          |          | Design issue fee   |          |
| 144          | 244          | 620      | 310      |          |          | Plant issue fee  |          |
| 122          | 222          | 130      | 130      |          |          | Petitions to the Commissioner  |          |
| 123          | 223          | 50       | 50       |          |          | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126          | 226          | 180      | 180      |          |          | Submission of Information Disclosure Statement                             |          |
| 581          | 2581         | 40       | 40       |          |          | Recording each patent assignment per property (times number of properties) |          |
| 146          | 246          | 740      | 370      |          |          | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 249          | 740      | 370      |          |          | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 279          | 740      | 370      |          |          | Request for Continued Examination (RCE)                                    |          |
| 169          | 269          | 900      | 900      |          |          | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name (Print/Type): Kurt G. Briscoe  
Signature: [Signature]

## Complete (if applicable)

Registration No. (Attorney/Agent): 33,141  
Telephone: (212) 808-0700  
Date: December 14, 2001

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APPLICANT: Heidi RIEDEL et al

TITLE: SELF-FOAMING OR FOAM-LIKE PREPARATIONS

GERMAN PRIORITY NO.: 100 63 342.0

**EXPRESS MAIL CERTIFICATE**

“Express Mail” mailing label number EV 015941243 US

Date of Deposit December 14, 2001

I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Appendix
3. Fee Transmittal in duplicate - CHARGE DEPOSIT ACCOUNT
4. Specification consisting of Description (pages 1-41); Examples (pages 42-45); 15 Claims (pages 46-48); Abstract (page 49)
5. Return Receipt Postcard

are being deposited with the United States Postal Services “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the: Hon. Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By

